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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Viero Examiner: UNKNOWN  
Serial No.: 10/014153 Group Art Unit: 2661  
Filed: 11/6/01 Docket No.: 975.377USW1  
Title: RANDOM ACCESS CONTROL METHOD AND SYSTEM

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 26 Feb 02

Michael B. Lasky  
Name

Signature

Group Art Unit 2661  
Assistant Commissioner for Patents  
Washington, D.C. 20231

- ☒ Certified copy of International Serial Number PCT/EP99/03630, filed 26 May 1999;  
Submission of Priority Document  
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Respectfully submitted,

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Date: 26 Feb. 02

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SUBMISSION OF PRIORITY DOCUMENT

GROUP ART UNIT 2661  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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Dear Sir:

Enclosed is a certified copy of International application, Serial Number  
PCT/EP99/03630, filed 26 May 1999, the priority of which is claimed under 35 U.S.C.  
§120.

Respectfully submitted,

Altera Law Group, LLC  
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### Bescheinigung

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten internationalen Patentanmeldung überein.

### Certificate

The attached documents are exact copies of the international patent application described on the following page, as originally filed.

### Attestation

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet international spécifiée à la page suivante.

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The Hague,  
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25.01.02

Der Präsident des Europäischen Patentamts  
Im Auftrag  
For the President of the European Patent Office  
Le Président de l'Office européen des brevets  
p.o.

M. DAVIDSON

Patentanmeldung Nr.  
Patent application no.  
Demande de brevet n°

PCT/EP 99/03630

**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**



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Application no.:  
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**Random Access Method and System**FIELD OF THE INVENTION

5 The present invention relates to a method and system for performing random access in a mobile communication network comprising a base transceiver station and a mobile station, such as a radio access network of a WCDMA (Wideband Code Division Multiple Access) system like the UMTS (Universal  
10 Mobile Telecommunications System).

BACKGROUND OF THE INVENTION

WCDMA has been chosen as the radio technology for the  
15 paired bands of the UMTS. Consequently, WCDMA is a common radio technology standard for third-generation wide-area mobile communications.

A fast and efficient random access procedure has been  
20 defined in the WCDMA system, wherein random access is based on a slotted Aloha transmission of a random access burst. The burst contains a preamble part, where a cell specific preamble code is used to transmit a preamble sequence randomly picked by the mobile station. The preamble  
25 sequence is detected in the receiver using a matched filter, and specifies a subset of a code tree that defines the channelization code (short code) used for an RACH (Random Access CHannel) message part.

30 In particular, a random access communication between a mobile station and the base transceiver station is established by means of an uplink PRACH (Physical Random Access Channel) and a downlink AICH (Acquisition Indication Channel), wherein the PRACH carries a RACH (Random Access

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Channel) through which the mobile station communicates with the base transceiver station. The mobile station transmits a random access message to the base transceiver station via the RACH when it wants to initiate a dedicated channel for e.g. a speech connection or it has some packet data which are to be transmitted. The base transceiver station transmits acknowledgments of RACH preamble signatures to the mobile station on the AICH, according to which the RACH message transmission is started.

However, in the common proposals for the WCDMA system, only a subset of all possible PRACH and AICH access slots is used, which limits the capacity of the RACH. This is not desirable especially in cases of high network loads.

Moreover, the number of access slots of the PRACH and the AICH is low. A preamble and an acquisition indicator (AI) may be transmitted every third or fourth access slot, so that the amount of hardware resources to be allocated to the RACH reception is low. If a single PRACH with such a limited capacity is not sufficient for a random access procedure, several PRACH and AICH channels per cell of the base transceiver station have to be configured. This leads to an undesirable complex random access procedure.

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a random access method and system, which enable a more flexible use of the random access channels.

This object is achieved by a method for performing random access in a mobile communication network having a base

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transceiver station and a plurality of mobile stations,  
comprising the steps of:

transmitting a parameter defining allowed uplink access  
slots from the base transceiver station to a mobile  
5 station;

determining said allowed uplink access slots at the mobile  
station based on the parameter; and

using at least one of the determined allowed uplink access  
slots for performing a random access operation to the base  
10 transceiver station.

Furthermore, the above object is achieved by a system for  
performing random access in a mobile communication network,  
comprising:

15 a network element arranged for transmitting a parameter  
defining allowed uplink access slots; and  
a plurality of mobile stations arranged for receiving the  
transmitted parameter, for determining the allowed uplink  
access slots based on the received parameter, and for using  
20 at least one of the determined allowed uplink access slots  
for performing a random access to the base transceiver  
station.

Additionally, the above object is achieved by a network  
25 element for a mobile communication network comprising a  
plurality of mobile stations, comprising:

setting means for setting a parameter defining allowed  
uplink access slots for performing a random access  
operation; and

30 transmitting means for transmitting the parameter to the  
plurality of mobile stations.

In addition thereto, the above object is achieved by a  
mobile station for a mobile communication network having at

least one network element allowing a random access operation, comprising:

receiving means for receiving from the network element a parameter defining allowed uplink access slots for the

5 random access operation;

determining means for determining the allowed uplink access slots based on the received parameter; and

transmitting means for transmitting a random access message to the network element using at least one of the determined  
10 allowed uplink access slots.

Accordingly, the allowed access slots of the random access channels can be defined and signaled to the mobile stations. The same algorithms can be used by the mobile  
15 station and the base transceiver station to determine the access slots in which the transmission or reception can be performed, based on required parameters. Thus, the number of allowed access slots can be defined by the network and may be changed even dynamically based on the random access  
20 messaging load or hardware parameters.

According to the invention, the number of allowed access slots of the random access channels can be configured to match more closely the requirements of the random access  
25 communication. Since the network defines the allowed access slots, hardware resources of the base transceiver station can be taken into account when deciding on the number of allowed access slots. The selection of the uplink access slots for each preamble transmission can be randomized, to  
30 thereby reduce preamble collisions. Since the number of allowed access slots for the random access channels is a network-specific variable, the amount of base transceiver station resources which must be allocated to random access reception and transmission may change dynamically.

Preferably, the parameter is transmitted via a broadcast channel, such as the BCH channel of the WCDMA system. The random access may be performed via the PRACH uplink channel  
5 and the AICH downlink channel.

The parameter may define a subset of available access slots of the mobile communication network. The subset may be determined by another parameter transmitted from the base  
10 transceiver station to the mobile station, wherein the other parameter can be a timing parameter defining a transmission timing of an uplink access slot. The other parameter may also be transmitted via the broadcast channel. Preferably, the bit number of the parameter may be  
15 changed in dependence on the other parameter.

A transmission of a preamble signature or an acquisition indication may be disabled in dependence of the value of the parameter.  
20

Furthermore, an index of an allowed uplink access slot may be calculated on the basis of the value of the parameter and a frame number of a frame used for transmitting an uplink access slot. In this case, the parameter may  
25 determine an offset to be added to the calculated index.

The allowed downlink slots may be determined by adding a predetermined value to an index of a received uplink slot. In this case, the predetermined value may depend on a  
30 timing parameter defining a transmission timing of the uplink slot.

Alternatively, an index of an allowed uplink access slot may be determined on the basis of the value of the

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parameter irrespective of a frame number of a frame used for transmitting an uplink access slot.

5 Additionally, the binary configuration of the parameter may determine a combination of calculated indices obtained for other values of the parameter, wherein the other values corresponds to the binary weights of the binary configuration.

10 The base transceiver station may comprise a transmitting means arranged for transmitting the parameter via the broadcast channel.

15 Furthermore, the setting means may be arranged to set the parameter in dependence on the timing parameter value defining a transmission timing of an uplink access slot in the random access operation.

20 Additionally, the determining means of the mobile station may be arranged to determine the allowed uplink access slots on the basis of the received parameter and the timing parameter. In particular, determining means may be arranged to calculate an index of an allowed uplink access slot on the basis of the value of the received parameter and a  
25 frame number of a frame used for transmitting an uplink access slot. Alternatively, the determining means may be arranged to determine an index of an allowed uplink access slot on the basis of the value of the parameter irrespective of a frame number of a frame used for  
30 transmitting an uplink access slot.

Preferably, a selection means is provided in the mobile station for randomly selecting from allowed access slots determined by the determining means an uplink access slot

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to be used for transmitting a preamble of said random access message. In this case, the consecutive preambles may be transmitted a predetermined number of access slots apart. The predetermined number may depend on a timing parameter received by said receiving means. Alternatively, the selection means may be arranged to perform the random selection any time a preamble needs to be transmitted.

# 10                    BRIEF DESCRIPTION OF THE DRAWINGS

In the following, the present invention will be described in greater detail on the basis of a preferred embodiment with reference to the accompanying drawings, in which:

15                    Fig. 1 shows a random access timing used in a random access channel according to a first example of the preferred embodiment of the present invention;

20                    Fig. 2 shows a principle block diagram of a base transceiver station and a mobile station according to the preferred embodiment of the present invention;

25                    Fig. 3 shows a table indicating a relationship between allowed access slots, a radio frame index and received parameters, according to a first example of the preferred embodiment of the present invention;

30                    Fig. 4 shows a table indicating a relationship between allowed access slots and received parameters according to the first example of the preferred embodiment of the present invention;

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Fig. 5 shows a table indicating a relationship between allowed access slots and received parameters according to a second example of the preferred embodiment of the present invention; and

5

Fig. 6 shows a table indicating a relationship between allowed access slots, a radio frame index and received parameters, according to the second example of the preferred embodiment of the present invention.

10

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

In the following, the preferred embodiment of the method and system according to the present invention will be described on the basis of a WCDMA system.

Before a mobile station (MS) can start transmitting on the PRACH channel, it needs to acquire several parameters by decoding a message broadcast from a base transceiver station (BTS) via the Broadcast Channel (BCH). In particular, the MS needs to know e.g. the available access slots of the PRACH and AICH channels and the AICH transmission timing parameter  $T_{AICH}$ . These parameters must also be known at a layer 1 transceiver of the BTS, so that a PRACH reception and a AICH transmission can be performed.

According to the preferred embodiment of the present invention, the MS or a BTS can derive the available access slots on the basis of a parameter A transmitted via the BCH. Thus, the allowed access slots are defined on the basis of the parameters A and  $T_{AICH}$ . However, the present invention is not restricted thereto, i.e. the allowed

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access slots may as well be defined solely on the basis of the parameter A alone.

After the allowed access slots have been determined at the MS, the MS selects an access slot in which a preamble signature will be transmitted.

[First example]

Fig. 1 shows a first example of a possible timing configuration of the random access channels. In this case, a radio frame of the random access channels has a length of 10 ms, wherein both uplink and downlink access slots have a length of 1.25 ms, such that each radio frame comprises eight access slots which can be allowed for the random access operation. For each uplink access slot, a corresponding downlink access slot is provided. Preambles and AIs are transmitted in time alignment with the uplink and downlink access slots, respectively. An uplink access slot is transmitted a specified time period before the corresponding downlink access slot. This time period is equal to 1.75 ms or 3 ms for  $T_{AICH} = 0$  and  $T_{AICH} = 1$ , respectively.

However, the present invention is not restricted to the above timing. Any other timing scheme, such as 15 access slots per 20 ms according to the second example described later, could be implemented as well.

The RACH message can be transmitted either three or four access slots after the latest transmitted preamble, depending on the value of  $T_{AICH}$ . The timing offset between uplink and downlink access slots, as seen from the MS, is equal to 0.5 ms. Therefore, an access slot starts 0.5 ms

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earlier in the uplink direction as compared to the downlink direction.

According to Fig. 1, the access slots in each frame F are denoted by indices 0 to 7, and the radio frames F are numbered from 0 to  $(N_F-1)$ , wherein  $N_F$  denotes the number of available radio frames indices. In the above alternative case of e.g. 15 access slots per 20ms (not shown), the access slots are denoted by indices 0 to 14.

In general,  $N_F$  must be an integer number large enough to enable a selection combining in a switching means such as a radio network controller (RNC). This can be achieved, if  $N_F$  satisfies the following conditions:

$$(N_F - 1) \text{ modulo } 3 = 2, \text{ and}$$

$$(N_F/2 - 1) \text{ modulo } 4 = 3$$

Fig. 2 shows a principle block diagram of a BTS 10 and an MS 20 according to the preferred embodiment of the present invention.

The BTS 10 comprises a transceiver (TRX) 11 for receiving and transmitting messages via corresponding radio channels to the MS 20. Furthermore, the BTS 10 comprises a parameter setting unit 14 in which random access parameters such as the parameter A and the timing parameter  $T_{AICH}$  are set. The setting may be controlled by the BTS 10 or on the basis of an external command received from the mobile network.

The parameter setting unit 14 is connected to a random access (RAC) control unit 15 for performing a signal processing required for the random access operations via

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the BCH and AICH channels. Based on the parameters received from the parameter setting unit 14 the RAC control unit 15 supplies a corresponding broadcast message to the TRX 11 which transmits the broadcast message to MSs located in the  
5 corresponding radio cell controlled by the BTS 10.

Furthermore, the RAC control unit 15 is connected to a switch 13 by means of which a connection to another network element such as a radio network controller (RNC) can be  
10 established in order to receive e.g. a control command for externally controlling the parameter setting unit 14.

In case the BTS 10 receives a random access message from the MS 20 via the PRACH channel, the TRX 11 supplies the  
15 received message to a slot determination unit 12 arranged to detect an index of the uplink access slot selected by the MS 20 from the allowed access slots defined by the parameters A and  $T_{AICH}$ . Based on the detected index of the received uplink access slot, the slot determination unit 12  
20 determines indices of allowed downlink slots of the AICH channel, to be used for transmitting a response message to the MS 20. The determination of the indices of the allowed downlink access slots is performed on the basis of an algorithm described later.

25 Additionally, the slot determination unit 12 is connected to the switch 13 in order to supply received random access messages to the network.

30 The MS 20 comprises a TRX 21 for transmitting and receiving messages via corresponding radio channels. The TRX 21 is connected to a parameter extraction unit 22, where the random access parameters such as the parameter A and the parameter  $T_{AICH}$  are extracted from the BCH channel. The

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extracted parameters are supplied to a slot determination unit 23 arranged to determine the allowed uplink access slots based on a predefined algorithm which may depend on the value of the parameter  $T_{AICH}$  and which is described

5 later. The determined allowed access slots are supplied to a slot selection unit 24 in which at least one desired uplink access slot is selected. The uplink access slot may be selected randomly based on the determined allowed access slots. Once the selection is done, consecutive preambles

10 are transmitted either three or four access slots apart, depending on the value of the parameter  $T_{AICH}$ .

Alternatively, the MS 20 may randomly select a number which defines a subset of the determined allowed access slots,

15 which the MS 20 will then use for transmitting the random access message.

The index of the selected access slot is supplied to a random access (RAC) control unit 25 arranged for performing

20 a signal processing required for the random access operations via the PRACH channel. The RAC control unit 25 generates a random access message based on an information supplied from an I/O unit 27 via a signal processing unit 26 to the TRX 21, in order to be transmitted via the PRACH

25 channel to the BTS 10. The signal processing unit 26 is provided for performing the required base band signaling of any input or output signal exchanged between the RAC control unit 25 and the I/O unit 27.

30 In the following, algorithms used by the slot determining unit 23 and the RAC control unit 15 for determining allowed uplink and, respectively, downlink access slots based on

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the parameters  $A$  and  $T_{AICH}$  are described with reference to Figures 3 and 4.

As already mentioned, each radio frame  $F$  may contain eight  
5 access slots each having a respective index  $a$ , wherein  $0 \leq a \leq 7$ . The index of the radio frame  $F$  ranges between 0 and  $(N_F-1)$ . Alternatively, two consecutive radio frames  $2 \cdot F$  and  $2 \cdot F+1$  which form a 20 ms frame  $\Gamma$  may contain fifteen access slots, i.e.  $0 \leq a \leq 14$ .

10

Initially, the network, e.g. the BTS 10, informs the mobile stations, located within the covered radio area, of the available access slots of the PRACH and AICH channel by transmitting the parameters  $T_{AICH}$  and  $A$  in the BCH channel,  
15 wherein  $T_{AICH}$  may be equal to 0 or 1. In case  $T_{AICH} = 0$ , the value of  $A$  may range between 0 and 7 (i.e.  $0 \leq A \leq 7$ , or  $000 \leq A \leq 111$  in binary expression). In case the value of  $T_{AICH}$  equals to 1, the values of the parameter  $A$  may range between 0 and 15 (i.e.  $0 \leq A \leq 15$ , or  $0000 \leq A \leq 1111$   
20 in binary expression).

According to the preferred embodiment, each bit of the binary expression of the parameter  $A$  indicates either an inclusion or an exclusion of a subset of access slots to  
25 the set of allowed access slots.

Fig. 3 shows a table indicating allowed subsets of access slots in dependence on the parameter  $A$  and the frame index  $F$ , in case the value of the parameter  $T_{AICH}$  equals to 0.

30

In case  $A$  is equal to 0 (000 in binary expression), the transmission of a preamble signature or an AI is forbidden

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or disabled in all access slots, since each bit of the binary expression is 0.

When A equals to 1 (001 in binary expression), the indices  
5 of the allowed uplink access slots in any radio frame F are obtained by the equation:

$$i = 3 \cdot N + (F \text{ modulo } 3)$$

$$\text{where } 0 \leq N \leq 2,$$

10

wherein N is an integer number and i denotes the index of the allowed access slot, wherein only access slots having indices within the range 0 to 7 are valid. Based on the above equation, the values indicated in the column A = 1 of  
15 the table shown in Fig. 3 are obtained.

Since each bit of the parameter A indicates either an inclusion or exclusion of a subset of access slots, the subsets are defined by A = 1 (001), A = 2 (010) and A = 4  
20 (100), which reflect the binary weights of the binary expression.

Basically, the subsets for A = 2 are obtained by increasing the indices of the subsets for A = 1 by one, wherein the  
25 value 8 is deleted and transferred as a value 0 to the next radio frame F+1. Similarly, the subset of allowed access slots for A = 4 is obtained from the subset for A = 2 by increasing the indices by one and transferring an obtained value 8 as a value 0 to the subsequent radio frame. Thus,  
30 an offset added to a basic subset (A = 1) is determined by the value of the parameter A.

The other sets of allowed access slots for the remaining values of the parameter A can be determined by adding

- 15 -

respective ones of the subsets given in the table of Fig. 3 based on the bit values in the binary expression of the parameter A. E.g., in case the parameter A equals to 7 having the binary expression 111 and thus including all binary weights, the subsets for  $A = 1$ ,  $A = 2$  and  $A = 4$  are all included in the set of allowed access slots, which leads to the maximum allowable slot number. Furthermore, in case A equals to 5 having the binary expression 101, the subsets defined by  $A = 1$  and  $A = 4$  are added to obtain the set of allowed access slots, and so on.

In the following, an index of an access slot is denoted by a pair  $(F, a)$ , wherein  $F$  ( $0 \leq F \leq (N_F - 1)$ ) denotes the frame index and  $a$  ( $0 \leq a \leq 7$ ) denotes the access slot index (within a frame  $F$ ). E.g., the allowed access slots for  $A = 1$  and  $F = 0$ , shown in Fig. 3, can be expressed as  $(0,0)$ ,  $(0,3)$  and  $(0,6)$ .

$(F^1_u, a^1_u)_0$  denotes any uplink access slot for  $A = 1$  and  $T_{AICH} = 0$ . The corresponding downlink access slots determined by the slot determination unit 12 of the BTS 10 are given by  $(F^1_d, a^1_d)_0$ , wherein  $F^1_d = (F^1_u + a^1_d/8)$  modulo  $N_F$  and  $a^1_d = (a^1_u + 1)$  modulo 8 in the above case of eight access slots per radio frame. In the equation for  $F^1_d$  „/“ stands for an integer division, i.e. truncation. Thus, in general, a downlink access slot is given by  $(F^x_d, a^x_d)_0 = (F^x_u a^x_u)_0 + 1$ , for  $A = x$  and  $T_{AICH} = 0$ .

Accordingly, when A equals to 2 (010 in binary expression), the allowed uplink access slots are defined by

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$$(F^2_u, a^2_u)_0 = (F^1_u, a^1_u)_0 + 1. \text{ Similarly, } (F^4_u, a^4_u)_0 = (F^2_u, a^2_u)_0 + 1.$$

Due to the modulo 3 operation for the frame index  $F$ , the  
 5 allowed access slots are changed over three consecutive  
 radio frames. The reason therefore is that the cycle of the  
 PRACH access slot timing is 30 ms, which corresponds to  
 three radio frames.

10 Fig. 4 shows a table indicating the relationship between  
 the allowed access slots and the parameter  $A$  in case the  
 value of  $T_{AICH} = 1$ .

In this case, the allowed access slots are equal in any  
 15 radio frame, i.e. the determination is performed  
 irrespective of the frame index  $F$ . This is due to the fact  
 that the cycle of the PRACH access slot timing is one radio  
 frame if  $T_{AICH} = 1$ .

20 When  $T_{AICH} = 1$ , the parameter  $A$  ranges between 0 and 15,  
 i.e. comprises four bits in the binary expression.  
 Therefore, four different sets of allowed access slots are  
 defined, wherein each bit of the parameter  $A$  indicates  
 either an inclusion or exclusion of the corresponding set  
 25 of allowed access slots.

When  $A$  equals to 0 (0000 in binary expression), the  
 transmission of a preamble signature or an AI is forbidden  
 in all access slots.

30

When  $A$  equals to 1 (0001 in binary expression), the indices  
 of the allowed access slots are 0 and 4 for any value of  $F$ .  
 When  $A$  equals to 2 (0010 in binary expression), the indices

- 17 -

of the allowed access slots equal to 1 and 5 for any value of  $F$ . When  $A$  equals to 4 (0100) and 8 (1000), the indices of the allowed access slots are 2, 6 and 3, 7, respectively, for any value of  $F$ .

5

The indices for the other values of the parameter  $A$  are determined on the basis of the bit constellation in the binary expression of  $A$ , as in the case of  $T_{AICH} = 0$ . Thus, all available slots are allowed in the case of  $A = 15$  (1111  
10 in binary expression).

In general, the allowed downlink access slots are determined by  $(F^x_d, a^x_d)_1 = (F^x_u, a^x_u)_1 + 2$ , for  $A = x$  and  $T_{AICH} = 1$ . Thus, basically, the indices of the downlink  
15 access slots are obtained by increasing the indices of the uplink access slots by 2.

Thus, the number of allowed PRACH uplink access slots and AICH downlink access slots can be configured in accordance  
20 with the requirements of the random access communication or the hardware resources at the BTS 10.

[Second example]

25 According to the second example of the preferred embodiment, fifteen access slots are provided per 20 ms, wherein two consecutive radio frames  $2 \cdot F$  and  $2 \cdot F + 1$  ( $0 \leq F \leq (N_F/2 - 1)$ ) contain fifteen PRACH or AICH access slots each having an index  $a$ , where  $0 \leq a \leq 15$ . In the present case,  
30 the two consecutive radio frames  $2 \cdot F$  and  $2 \cdot F + 1$  are denoted by  $\Gamma$  indicating a PRACH or an AICH frame of length 20 ms. Thus,  $\Gamma$  contains 15 access slots having a length of roughly

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1.33 ms (20ms/15). The frame index  $\Gamma$  ranges from 0 to  $(N_F/2-1)$ .

Fig. 5 shows a table indicating the relationship between the allowed access slots and the parameter A in case the value of  $T_{AICH} = 0$ .

In case of  $T_{AICH} = 0$ , i.e.  $0 \leq A \leq 7$ , the transmission of a preamble signature or an AI is also forbidden in all access slots, if A equals to 0 (000 in binary expression).

When A equals to 1 (001 in binary expression), allowed access slots indices are 0, 3, 6, 9, and 12, i.e.

$(\Gamma^1_u, 0)_0$ ,  $(\Gamma^1_u, 3)_0$ ,  $(\Gamma^1_u, 6)_0$ ,  $(\Gamma^1_u, 9)_0$ , and  $(\Gamma^1_u, 12)_0$ , for any  $\Gamma$ , since the cycle of the PRACH access slot timing is one radio frame.

When A equals to 2 (010 in binary expression), allowed access slots  $(\Gamma^2_u, a^2_u)_0$  are obtained by  $(\Gamma^1_u, a^1_u)_0 + 1$

for all available access slots  $(\Gamma^1_u, a^1_u)_0$ , i.e. allowed access slot indices are 1, 4, 7, 10, and 13. Now,  $(\Gamma^1, a^1) = (\Gamma, a) + 1$  is defined by  $\Gamma^1 = (\Gamma + a^1/15)$  modulo  $N_F/2$  and  $a^1 = (a + 1)$  modulo 15. Thus, allowed access slots are  $(\Gamma^2_u, 1)_0$ ,  $(\Gamma^2_u, 4)_0$ ,  $(\Gamma^2_u, 7)_0$ ,  $(\Gamma^2_u, 10)_0$ , and  $(\Gamma^2_u, 13)_0$ , for any  $\Gamma$ .

Similarly, when A equals to 4 (100 in binary expression), allowed access slot indices are 2, 5, 8, 11, and 14, since  $(\Gamma^4_u, a^4_u)_0 = (\Gamma^2_u, a^2_u)_0 + 1$ .

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In general, downlink access slots are obtained from

$$(\Gamma^x_d, a^x_d)_0 = (\Gamma^x_u, a^x_u)_0 + 1.$$

Fig. 6 shows a table indicating allowed subsets of access  
5 slots in dependence on the parameter A and the frame index  $\Gamma$ , in case the value of the parameter  $T_{AICH}$  equals to 1.

In the case of  $T_{AICH} = 1$ , i.e.  $0 \leq A \leq 15$ , the transmission  
of a preamble signature of an AI is again forbidden in all  
10 access slots, as long as A equals to 0 (0000 in binary  
expression).

When A equals to 1 (0001 in binary expression), allowed  
access slots indices for any frame  $\Gamma$  are obtained from the  
15 equation:

$$i = 4 \cdot N + (\Gamma \text{ modulo } 4)$$

$$\text{where } 0 \leq N \leq 3,$$

20 wherein N is an integer number and i denotes the index of  
the allowed access slot, wherein only access slots having  
indices within the range 0 to 14 are valid.

When A equals to 2, 4 or 8, allowed access slots are  
25 obtained from  $(\Gamma^{2n}_u, a^{2n}_u)_1 = (\Gamma^n_u, a^n_u)_1 + 1$ . Thus access  
slot indices for the case  $A = 2$  can be computed from the  
indices obtained for the case  $A = 1$ , etc.

In general, downlink access slots are obtained from  
30  $(\Gamma^x_d, a^x_d)_1 = (\Gamma^x_u, a^x_u)_1 + 2$ .

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It is to be noted that, also in the second example, the sets of allowed access slots are determined for the other values of the parameter A by combining (adding) the subsets shown in the tables of Figs. 5 and 6 in accordance with the bit values of the respective binary expressions of the remaining parameter values, as described in the first example.

In the following, the selection of one or a plurality of access slots by the slot selection unit 24 of the MS 20 is described in greater detail.

The slot selection unit 24 may be arranged to randomly select one of the allowed uplink access slots determined based on e.g. one of the algorithms described in the first or second examples by the slot determination unit 23. Once the selection is done, consecutive preambles may be transmitted either three or four access slots apart (preamble-to-preamble timing), depending on the value of  $T_{AICH}$ . Therefore, the MS 20 randomly picks a number  $x$  defining the subset of access slots which will be used by the MS 20. For  $T_{AICH} = 0$  and  $T_{AICH} = 1$ , the values of  $x$  may be taken from the sets  $\{1, 2, 4\}$  and  $\{1, 2, 4, 8\}$ , respectively. Then, the selected uplink access slots are defined by all  $(F^{x_u}, a^{x_u})$ 's or  $(\Gamma^{x_u}, a^{x_u})$ 's, respectively.

Alternatively, the slot selection unit 24 of the MS 20 may be arranged to randomly select any allowed uplink access slot, when a preamble signature needs to be transmitted. Once the access slot has been selected, the PRACH and AICH timings are followed. Therefore, each time a preamble signature needs to be transmitted, the slot selection unit 24 of the MS 20 randomly selects a number  $x$  from the sets

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{1, 2, 4} or {1, 2, 4, 8} (depending on the value of  $T_{AICH}$ ) and then transmits using the next allowed access slot of  $(F^x_u, a^x_u)$  or  $(\Gamma^x_u, a^x_u)$ , respectively. In this case, the preamble-to-preamble timing may be three, four or five access slots, depending on the value of  $x$  (case  $T_{AICH} = 0$ ). For  $T_{AICH} = 1$ , the preamble-to-preamble timing may be four, five, six or seven slots, depending on the value of  $x$ .

It is to be pointed out that the random access method and system described in the preferred embodiment can be applied to any radio network having a random access function between mobile terminals and fixed network elements. Furthermore, the discrete hardware units of the MS 20 and BTS 10 may be replaced by corresponding software features of a control program controlling a CPU provided in the BTS 10 or the MS 20. The above description of the preferred embodiment and the accompanying drawings are only intended to illustrate the present invention. The preferred embodiment of the invention may vary within the scope of the attached claims.

In summary, the present invention relates to a method and system for performing a random access operation between a mobile station and a network element such as a base transceiver station, wherein allowed access slots for random access channels are defined by the network and signaled to the mobile station. The definition of the allowed access slots is performed on the basis of a parameter set by the base transceiver station and transmitted to the mobile station which determines the allowed access slots based on the parameter. Thus, the number of allowed access slots can be defined by the

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network and can be changed dynamically based on the random access messaging load and the hardware requirements.

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**Claims**

1. A method for performing random access in a mobile communication network having a base transceiver station and a plurality of mobile stations, comprising the steps of:
  - a) transmitting a parameter defining allowed access slots used between said base transceiver station and a mobile station to said mobile station;
  - b) determining said allowed access slots at said mobile station based on said parameter; and
  - c) using at least one of said determined allowed access slots for performing a random access operation to said base transceiver station.
2. A method according to claim 1, wherein said parameter is transmitted via a broadcast channel.
3. A method according to claim 2, wherein said broadcast channel is the BCH channel of a WCDMA system.
4. A method according to claim 2 or 3, wherein said random access is performed via the PRACH uplink channel and the AICH downlink channel of the WCDMA system.
5. A method according to anyone of the preceding claims, wherein said parameter defines a subset of available access slots of said mobile communication network.
6. A method according to claim 5, wherein said subset is determined by another parameter transmitted from said base transceiver station to said mobile station.

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7. A method according to claim 6, wherein said other parameter is a timing parameter defining a transmission timing of an uplink access slot.

5 8. A method according to claim 6 or 7, wherein said other parameter is transmitted via a broadcast channel.

9. A method according to anyone of claims 6 to 8, wherein the bit number of said parameter is changed in dependence  
10 on said other parameter.

10. A method according to any of the preceding claims, wherein a transmission of a preamble signature or an acquisition indication is disabled in dependence of the  
15 value of said parameter.

11. A method according to anyone of the preceding claims, wherein an index of an allowed uplink access slot is calculated on the basis of the value of said parameter and  
20 a frame number of a frame used for transmitting an uplink access slot.

12. A method according to claim 11, wherein said index is calculated by using the equation  
25

$$i = 3 \cdot N + (F \text{ modulo } 3)$$
$$\text{where } 0 \leq N \leq 2,$$

wherein F and N are integer numbers, and F denotes said  
30 frame number, and wherein only access slots having indices within the range 0 to 7 are valid.

13. A method according to claim 11, wherein said index is calculated by using the equation

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$$i = 4 \cdot N + (\Gamma \text{ modulo } 4)$$

where  $0 \leq N \leq 3$ ,

5 wherein  $\Gamma$  and  $N$  are integer numbers, and  $\Gamma$  denotes a frame number indicating two consecutive ones of said frame numbers of said frame used for transmitting an uplink access slot, and wherein only access slots having indices within the range 0 to 14 are valid.

10

14. A method according to claim 12 or 13, wherein said parameter determines an offset to be added to said calculated index.

15 15. A method according to anyone of claims 1 to 11, wherein an index of an allowed uplink access slot is determined on the basis of the value of said parameter irrespective of a frame number of a frame used for transmitting an uplink access slot.

20

16. A method according to anyone of the preceding claims, wherein an allowed downlink slot is determined by adding a predetermined value to an index of a received uplink slot.

25 17. A method according to claim 16, wherein said predetermined value is selected in accordance with a timing parameter defining a transmission timing of said uplink slot.

30 18. A method according to any of the preceding claims, wherein bit values of a binary expression of said parameter determines a combination of calculated indices obtained for other values of said parameter, said other values

corresponding to the binary weights of said binary expression.

19. A system for performing random access in a mobile communication network, comprising:

- a) a network element **(10)** arranged for transmitting a parameter defining allowed access slots; and
- b) a plurality of mobile stations **(20)** arranged for receiving said transmitted parameter, for determining said allowed access slots based on said received parameter, and for using at least one of said determined allowed access slots for performing a random access operation to said base transceiver station **(10)**.

20. A system according to claim 19, wherein said network element is a WCDMA base transceiver station **(10)** and said mobile station **(20)** is a WCDMA mobile station.

21. A network element for a mobile communication network comprising a plurality of mobile stations **(20)**, comprising:

- a) setting means **(14)** for setting a parameter defining allowed access slots for performing a random access operation; and
- b) transmitting means **(11)** for transmitting said parameter to said plurality of mobile stations **(20)**.

22. A network element according to claim 21, wherein said network element is a WCDMA base transceiver station **(10)**.

23. A network element according to claim 21 or 22, wherein said transmitting means **(11)** is arranged to transmit said parameter via a broadcast channel.

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24. A network element according to anyone of claims 21 to 23, wherein said setting means **(14)** is arranged to set said parameter in dependence on a timing parameter value defining a transmission timing of an uplink access slot in  
5 said random access operation.

25. A mobile station for a mobile communication network having at least one network element **(10)** allowing a random access operation, comprising:

- 10 a) receiving means **(21)** for receiving a parameter defining allowed access slots for said random access operation from said network element **(10)**;
- b) determining means **(23)** for determining said allowed access slots based on said received parameter; and
- 15 c) transmitting means **(21)** for transmitting a random access message to said network element **(10)** using at least one of said determined allowed access slots.

26. A mobile station according to claim 25, wherein said  
20 receiving means **(21)** is arranged to receive said parameter via a broadcast channel.

27. A mobile station according to claim 26, wherein said  
25 determining means **(23)** is arranged to determine said allowed access slots on the basis of said received parameter and a timing parameter received via said broadcast channel.

28. A mobile station according to anyone of claims 25 to  
30 27, wherein said determining means **(23)** is arranged to calculate an index of an allowed uplink access slot on the basis of the value of said received parameter and a frame

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number of a frame used for transmitting an uplink access slot.

29. A mobile station according to anyone of claims 25 to  
5 27, wherein said determining means **(23)** is arranged to determine an index of an allowed uplink access slot on the basis of the value of said parameter irrespective of a frame number of a frame used for transmitting an uplink access slot.

10 30. A mobile station according to anyone of claims 25 to 29, wherein a selection means **(24)** is provided for randomly selecting from allowed access slots determined by said determining means **(23)** an uplink access slot to be used for  
15 transmitting a preamble of said random access message.

31. A mobile station according to claim 30, wherein consecutive preambles are transmitted a predetermined number of access slots apart.

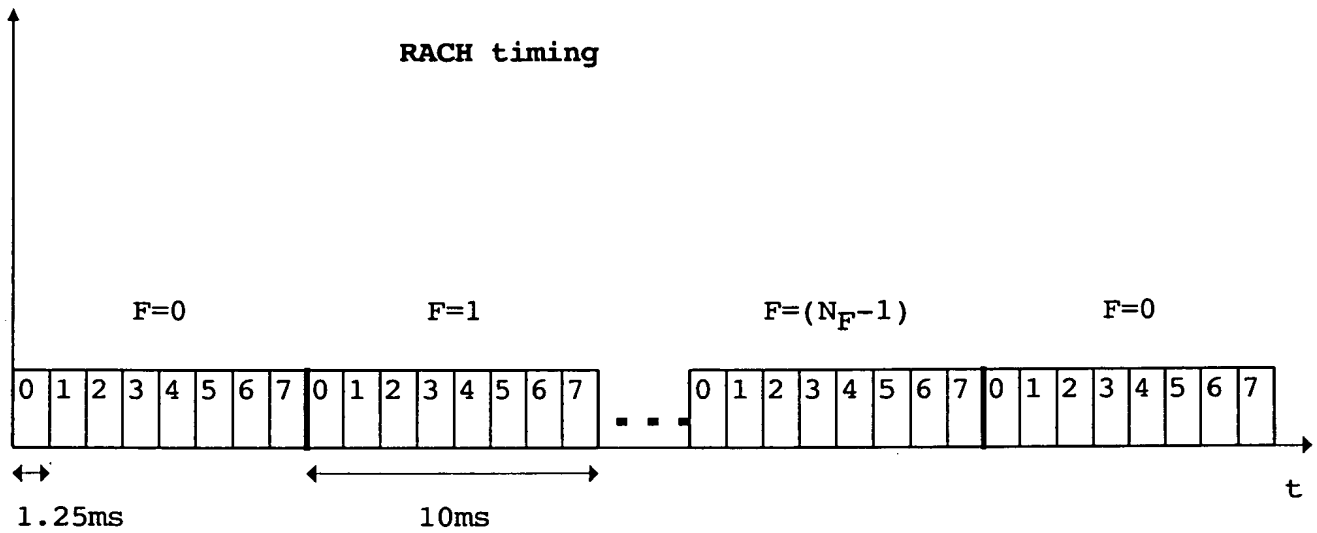
20 32. A mobile station according to claim 31, wherein said predetermined number depends on a timing parameter received by said receiving means **(21)**.

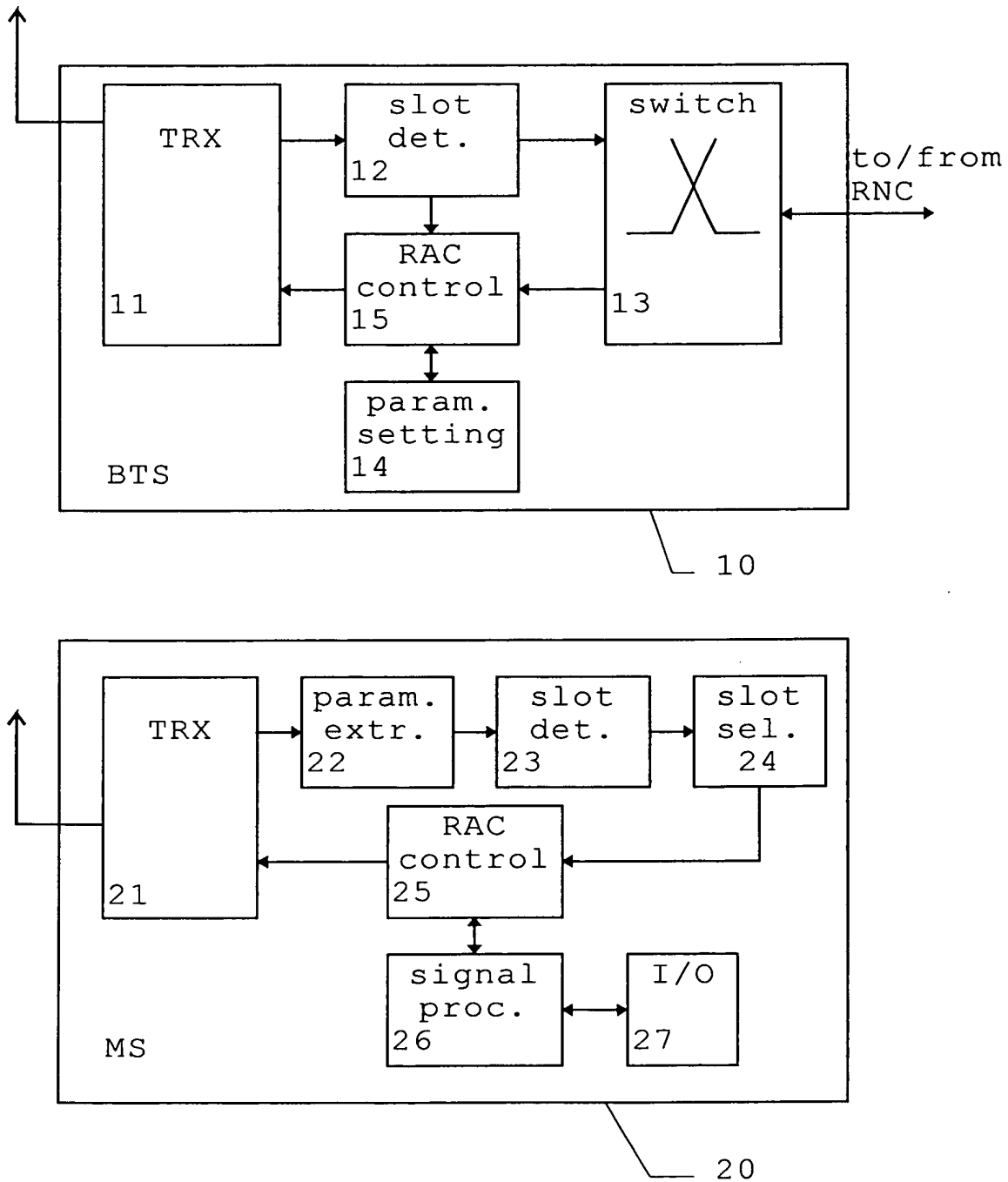
25 33. A mobile station according to claim 30, wherein said selection means **(24)** is arranged to perform said random selection any time a preamble needs to be transmitted.

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## Abstract

The present invention relates to an method and system for performing a random access operation between a mobile station and a network element such as a base transceiver station (10), wherein allowed access slots for random access channels are defined by the network and are signaled to the mobile station (20). The definition of the allowed access slots is performed on the basis of a parameter set by the base transceiver station (10) and transmitted to the mobile station (20) which determines the allowed access slots based on the parameter. Thus, the number of allowed access slots can be defined by the network and can be changed dynamically based on the random access messaging load and the hardware requirements.

**Fig. 1**

**Fig. 2**

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$T_{AICH}=0$

<b>Fmod3</b>	<b>A=0 (000)</b>	<b>A=1 (001)</b>	<b>A=2 (010)</b>	<b>A=4 (100)</b>
0	-	0, 3, 6	1, 4, 7	2, 5
1	-	1, 4, 7	2, 5	0, 3, 6
2	-	2, 5	0, 3, 6	1, 4, 7

**Fig. 3**

$T_{AICH}=1$

<b>A=0 (0000)</b>	<b>A=1 (0001)</b>	<b>A=2 (0010)</b>	<b>A=4 (0100)</b>	<b>A=8 (1000)</b>
-	0, 4	1, 5	2, 6	3, 7

**Fig. 4**

$$T_{AICH}=0$$

<b>A=0 (000)</b>	<b>A=1 (001)</b>	<b>A=2 (010)</b>	<b>A=4 (100)</b>
-	0, 3, 6, 9, 12	1, 4, 7, 10, 13	2, 5, 8, 11, 14

**Fig. 5**

$$T_{AICH}=1$$

<b><math>\Gamma \bmod 4</math></b>	<b>A=0 (0000)</b>	<b>A=1 (0001)</b>	<b>A=2 (0010)</b>	<b>A=4 (0100)</b>	<b>A=8 (1000)</b>
0	-	0, 4, 8, 12	1, 5, 9, 13	2, 6, 10, 14	3, 7, 11
1	-	1, 5, 9, 13	2, 6, 10, 14	3, 7, 11	0, 4, 8, 12
2	-	2, 6, 10, 14	3, 7, 11	0, 4, 8, 12	1, 5, 9, 13
3	-	3, 7, 11	0, 4, 8, 12	1, 5, 9, 13	2, 6, 10, 14

**Fig. 6**